

02/11/21 :

We saw that  $P \subsetneq P/poly$

HOLY GRAIL! Is  $P \neq NP$ ?

Harder question: Is  $NP \not\subseteq P/poly$ ?

if you separate  $NP$  from  $P/poly$

$\Rightarrow P \neq NP$ .

what happens if  $NP \subseteq P/poly$ ?

Thm: [Karp-Lipton '80]

if  $NP \subseteq P/poly$  then  $PH = \Sigma_2^P$ .

what happens if  $PH \not\subseteq P/poly$ ?

This implies  $P \neq NP$ .

if  $P = NP$  then  $PH = P = NP$

$P = NP = coNP = PH \subseteq P/poly \dots$

# Proof of Karp-Lipton Thm 1-

Assumption  $NP \subseteq P/Poly.$

To prove:  $PH = \Sigma_2^P$

It suffices to prove that  $\Sigma_2^P = \Pi_2^P.$

Further it suffices to prove that  $\Pi_2^P \subseteq \Sigma_2^P.$

$$\Pi_2^P \subseteq \Sigma_2^P \Rightarrow \Sigma_2^P = \Pi_2^P. \text{ (Easy)}$$

Let's consider  $\Pi_2^P$ -complete language:  $\Pi_2$ -SAT

$$\forall x_1 \in \{0,1\}^{p(n)} \exists x_2 \in \{0,1\}^{p(n)} \text{ s.t.}$$

$$\varphi(x_1, x_2) = 1.$$

$$\Pi_2\text{-SAT} := \left\{ \langle \varphi(x_1, x_2) \rangle \mid \forall x_1 \in \{0,1\}^{p(n)} \exists x_2 \in \{0,1\}^{p(n)} \text{ s.t. } \varphi(x_1, x_2) \text{ is true} \right\}$$

$$\Sigma_2\text{-SAT} := \left\{ \langle \psi(x_1, x_2) \rangle \mid \exists x_1 \in \{0,1\}^{p(n)} \forall x_2 \in \{0,1\}^{p(n)} \text{ s.t. } \psi(x_1, x_2) \text{ is true} \right\}$$

To show  $\Pi_2^P \subseteq \Sigma_2^P.$

it suffices to show that  $\Pi_2$ -SAT can be recognized in  $\Sigma_2^P.$

$$\forall x_1 \in \{0,1\}^{p(n)} \quad \boxed{\exists x_2 \in \{0,1\}^{p(n)} \text{ s.t. } \varphi(x_1, x_2) = 1.}$$

Let's fix  $x_1$ .

$$\exists x_2 \in \{0,1\}^{p(n)} \text{ s.t. } \varphi(x_1, x_2) = 1.$$

↑  
fixed

$$\langle \varphi \rangle \in \Pi_2\text{-SAT} \Leftrightarrow \forall x_1, \varphi(x_1, x) \text{ is satisfiable}$$

Assumption:  $NP \subseteq P/poly$  ( $\Rightarrow$  SAT has poly-size ckt)

$$\Rightarrow \exists \text{ a circuit } C_n^{\text{of poly-size}} \text{ s.t. } C(\varphi(x_1, x))$$

outputs whether  $\varphi(x_1, x)$  is satisfiable or not.

$$\langle \varphi \rangle \in \Pi_2\text{-SAT} \Leftrightarrow \exists C \in \{0,1\}^{\overbrace{q(n)}^{??}} \forall x_1 \in \{0,1\}^{p(n)}$$

Is this correct!

$$\Rightarrow \checkmark \text{ s.t. } C(\varphi(x_1, x)) = 1.$$

$$\Leftarrow \times$$

$C$  is of polynomial size in input-length.

where the input is  $\langle \varphi(x_1, x) \rangle$  s.t.

$$|\langle \varphi(x_1, x) \rangle| = q'(n)$$

if  $\langle \varphi \rangle \in \Pi_2\text{-SAT}$  then is  $\Sigma_2^P$ -algorithm correct?

$NP \subseteq P/poly \Rightarrow \text{SAT} := \{ \langle \varphi \rangle \mid \varphi \text{ is satisfiable} \}$   
has poly-size ckt family  $\{C_n\}$

↓ if  $\langle \varphi(x_1, x_2) \rangle \in \Pi_2\text{-SAT}$

$\Rightarrow \forall x_1 \in \{0,1\}^{p(n)} \left[ \exists x_2 \in \{0,1\}^{p(n)} \text{ s.t. } \varphi(x_1, x_2) = 1. \right]$

$\Rightarrow \forall x_1 \in \{0,1\}^{p(n)} \left[ \varphi(x_1, x) \text{ is satisfiable.} \right]$

$\Rightarrow \forall x_1 \in \{0,1\}^{p(n)} C(\varphi(x_1, x)) = 1.$

what happens if  $\langle \varphi(x_1, x_2) \rangle \notin \Pi_2\text{-SAT}$

$\Rightarrow \exists x_1 \in \{0,1\}^{p(n)} \forall x_2 \in \{0,1\}^{p(n)} \varphi(x_1, x_2) = 0$

Suppose our guessed ckt  $C$  is such that

On all input it outputs 1.

So we need to be able to verify that the guessed ckt  $C$  is indeed a ckt for SAT.

We need a certificate of satisfiability from the ckt  $C$ .

Claim 1:- Assuming  $\exists$  a ckt of size  $s$  solving SAT on instances with  $n$  variables.

Then,  $\exists$  another ckt  $C'$  of size  $O((s \cdot n)^2)$



s.t.  $C'$  on input  $\varphi$  outputs a satisfying assignment if  $\varphi$  is satisfiable.  
or outputs an all zero string.

Proof:- Algo:- Input  $\varphi$ .

Step 1:- Check if  $\varphi$  is satisfiable or not using  $C$ .

Step 2:- if  $\varphi$  is satisfiable  
then decide  $\varphi(y_1=1, y_2=1, \dots, y_n)$   
is satisfiable. using  $C$ .  
if yes then set  $y_i=1$   
otherwise set  $y_i=0$

Step 3:- Go back to step 2.

This algorithm takes  $O((n+1) \cdot s)$  time.

From  $P \subseteq P/poly$  we get  $\exists$  a ckt  $C'$  of size  $O((n \cdot s)^2)$ .

Getting back to  $\Sigma_2^P$  - algo: it guesses  $C'$  instead of  $C$ .

$$\langle \varphi(x_1, x_2) \rangle \in \Pi_2\text{-SAT} \Leftrightarrow \exists c' \in \{0,1\}^{q_2(n)} \\ \sum_2^P\text{-characterisation } \forall x_1 \in \{0,1\}^{p(n)} \\ \text{s.t. } \varphi(x_1, \underbrace{c'(\varphi(x_1, x))}_{x_2}) = 1$$

Suppose  $\langle \varphi(x_1, x_2) \rangle \notin \Pi_2\text{-SAT}$

$$\Rightarrow \exists x_1 \forall x_2 \varphi(x_1, x_2) = 0.$$

This shows.  $\Pi_2\text{-SAT} \in \Sigma_2^P$

$$\Rightarrow \Pi_2^P \subseteq \Sigma_2^P$$

▣

Thm:- (Meyer's Thm) If  $\Sigma XP \subseteq P/\text{poly}$

$$\text{then } \Sigma XP = \Sigma_2^P$$

Cor:- if  $P = NP$  then  $EXP \not\subseteq P/\text{poly}$ .

(converting upper bounds into lower bounds)

Proof: if  $P = NP \Rightarrow PH = P = NP$

$$\Rightarrow P = \Sigma_2^P \text{ —}$$

Suppose  $EXP \subseteq P/\text{poly}$  then Meyer's thm

$$\text{implies } \Sigma XP = \Sigma_2^P \text{ —}$$

$\Rightarrow P = \Sigma XP$  But this is a contradiction to deterministic time hierarchy.

(Non)-Deterministic time hierarchy.

$$\text{DTIME}(T(n)) \not\subseteq \text{DTIME}(T(n) \log T(n))$$

— × — × —

Q: Are there Boolean functions  $f: \{0,1\}^n \rightarrow \{0,1\}$  that require large circuits?

if we prove that  $\exists f_n: \{0,1\}^n \rightarrow \{0,1\} \in \text{NP}$

s.t.  $f_n$  requires more than poly-size ckt.

then  $\text{NP} \not\subseteq \text{P/poly}$ .

[Current Best lower bound.  $\exists$  a function  $\in \text{NP}$  s.t. it needs ckt of size  $5n$ .]

Thm:- Almost all Boolean functions on  $n$ -variables require ckt of size  $\frac{2^n}{10^n}$ .

$f: \{0,1\}^n \rightarrow \{0,1\}$  — Boolean function on  $n$  variable.

# Boolean function on  $n$ -vars =  $2^{2^n}$

How many cKts are there over  $n$ -variables  
of size at most  $s$ ?

$g_1, \dots, g_s$

$$g_1, \dots, g_n = \{x_1, \dots, x_n\}$$

For other gates  $n+1 \leq i \leq s$ ,

you need to assign  $g_i$  a label in  
 $\{v, \wedge, \neg\}$

and you have to assign two inputs to

$$\underbrace{\left( (n+3) \cdot \binom{s}{2} \right)}_{\text{\# choices for each gate}}^s \text{ or } \left( 3 \cdot \binom{s}{2} \right)^s_{g_i}$$

total # cKts of size at most  $s$

over  $n$ -variables.

$$\leq \left( 3 \binom{s}{2} \right)^s$$

$$\leq \left( 3 \cdot s^2 \right)^s$$

$$s = \frac{2^n}{\log n}$$



$$(3 \cdot 5^2)^S = \left(3 \cdot \frac{2^n}{10 \cdot n}\right)^{2 \cdot \frac{2^n}{10 \cdot n}}$$

$$\leq \frac{2^{n \cdot \frac{2^n}{5n}}}{2^{O(\log n) \cdot \frac{2^n}{5n}}}$$

$$= 2^{\frac{1}{5} \cdot 2^n - \frac{2^n}{n} \cdot O(\log n)}$$

$$\leq 2^{2^n \left(\frac{1}{5} - \frac{O(\log n)}{n}\right)}$$

$$\leq 2^{2^n \cdot \frac{1}{5}}$$

# total Boolean function =  $2^{2^n}$

$\Rightarrow \exists$  a function  $f: \{0,1\}^n \rightarrow \{0,1\}$

on  $n$ -variables that requires ckt

of size  $> \frac{2^n}{10n}$ .

[Shannon's Thm. '49] (Counting Argument)

But the whole game is to come up with explicit functions that require large ckt  $\square$

the lower bound that we saw  $\frac{2^n}{10n}$

Obvious upper bound  $\sum_{x \in f^{-1}(1)} \left[ \begin{array}{l} \text{Indicator function} \\ \text{for } x \end{array} \right]$

$$(x_1, x_2, x_3, x_4) = (1, 1, 0, 1)$$

Total size

$$x_1 \wedge x_2 \wedge (\neg x_3) \wedge x_4$$

$$|f^{-1}(1)| \cdot n$$

$$0010 = \neg x_1 \wedge \neg x_2 \wedge x_3 \wedge \neg x_4$$

$$\leq \underline{\underline{n \cdot 2^n}}$$

But Lupanov (1950s) showed

that every Boolean function on

$n$  variables has a ckt of

$$\text{Size. } \frac{2^n}{n} (1 + o(1)) \leq 5 \cdot \frac{2^n}{n}$$

And Lupanov also showed  $\exists$  a

$$f: \{0,1\}^n \rightarrow \{0,1\} \quad \text{s.t. it}$$

requires ckt of size at least

$$\frac{2^n}{n} (1 - o(1))$$

