

20/10/2021

Obs :- Exact-Clique  $\in \Sigma_2^P$

Proof sketch :-

input :  $\langle G, k \rangle$

$V(\langle G, k \rangle, u_1, u_2)$   
 $x :=$  set of vertices  
set of vertices that forms the largest clique

$\rightarrow$  if  $x \neq \langle G, k \rangle \rightarrow$  REJECT

$\rightarrow$  if  $u_1$  doesn't encode a clique  
of size  $k \rightarrow$  REJECT

$\rightarrow$  if  $u_2$  encodes a clique of size  
at least  $k+1 \rightarrow$  REJECT

$\rightarrow$  O/w ACCEPT.

$\exists u_1 \forall u_2 V(x, u_1, u_2) = 1.$

$x = \langle G, k \rangle \in$  Exact-Clique

then  $\exists u_1 \forall u_2 V(x, u_1, u_2) = 1.$

$x = \langle G, k \rangle \notin$  Exact-Clique

then (i) largest clique  $> k \rightarrow \forall u_1 \exists u_2$

$$\text{s.t. } V(x, u_1, u_2) = 0$$

(ii) largest Clique  $< k \rightarrow \forall u_1, \forall u_2$

$$V(x, u_1, u_2) = 0$$

Defn:  $(\Pi_2^P)$ :  $L \in \Pi_2^P$  if  $\exists$  a poly-time  
DTM  $V$  and poly  $p(\cdot)$  s.t.  $\forall x \in \{0,1\}^{2^*}$

$$x \in L \Leftrightarrow \forall u_1 \in \{0,1\}^{p(|x|)} \exists u_2 \in \{0,1\}^{p(|x|)}$$

$$\text{s.t. } V(x, u_1, u_2) = 1.$$

Q: Min-ckt-size  $\in \Pi_2^P$

ii  
 $\{ \langle c \rangle \mid c \text{ is the smallest ckt computing the function represented by } c \}$

sketch:  $\forall c'$  s.t.  $\text{size}(c') < \text{size}(c)$

$$\exists x \in \{0,1\}^n \text{ s.t. } c'(x) \neq c(x).$$

Defn:  $\Sigma_i^P$  ;  $i \in \mathbb{N}$

$L \in \Sigma_i^P$  if  $\exists$  a poly-time DTM  $V$  and polynomial  $p(\cdot)$  s.t.  $\forall x \in \{0,1\}^*$

$x \in L \Leftrightarrow \exists u_1 \in \{0,1\}^{p(|x|)} \forall u_2 \in \{0,1\}^{p(|x|)} \exists u_3 \in \{0,1\}^{p(|x|)}$

$\dots \forall u_i \in \{0,1\}^{p(|x|)}$  s.t.  $V(x, u_1, \dots, u_i) = 1$

where  $\forall_i \in \{\forall, \exists\}$  is  $\forall$  (or  $\exists$ ) if  $i$  is even (or odd).

Defn:  $\Pi_i^P$  :  $x \in L \Leftrightarrow \forall \exists \forall \dots \forall (x, u_1, \dots, u_i) = 1$

Obs:-  $\Sigma_i^P \subseteq \Sigma_{i+1}^P$

Proof sketch:  $\Sigma_2^P \subseteq \Sigma_3^P \Leftrightarrow \exists \forall \exists P$

$\exists \forall P$

Obs:-  $\Pi_2^P \subseteq \Pi_{i+1}^P$

$\Pi_2^P \Leftrightarrow \forall \exists P$  ;  $\Pi_3^P \Leftrightarrow \forall \exists \forall P$   
↑  
 dummy variables

$$(x_1 \wedge x_2) \vee x_3$$

$$\exists y (x_1 \wedge x_2) \vee x_3$$

$$\begin{aligned} x_1 &= 0 \\ x_2 &= 1 \\ x_3 &= 1 \end{aligned}$$

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$$L \in \Pi_2^P$$

$$x \in L \Leftrightarrow \forall u_1, \exists u_2 \underline{V(x, u_1, u_2)} = 1$$

$$x \in L \Leftrightarrow \forall u_1, \exists u_2 \forall u_3 V(x, u_1, u_2, u_3) = 1$$



$$V(x, u_1, u_2) = 1$$

$$\left. \begin{aligned} &\forall y (x_1 \wedge x_2) \vee x_3 \\ &x_1 = 0 \\ &x_2 = 1 \\ &x_3 = 1 \end{aligned} \right\}$$

Obs:  $\sum_2^P \subseteq \prod_3^P$  ?

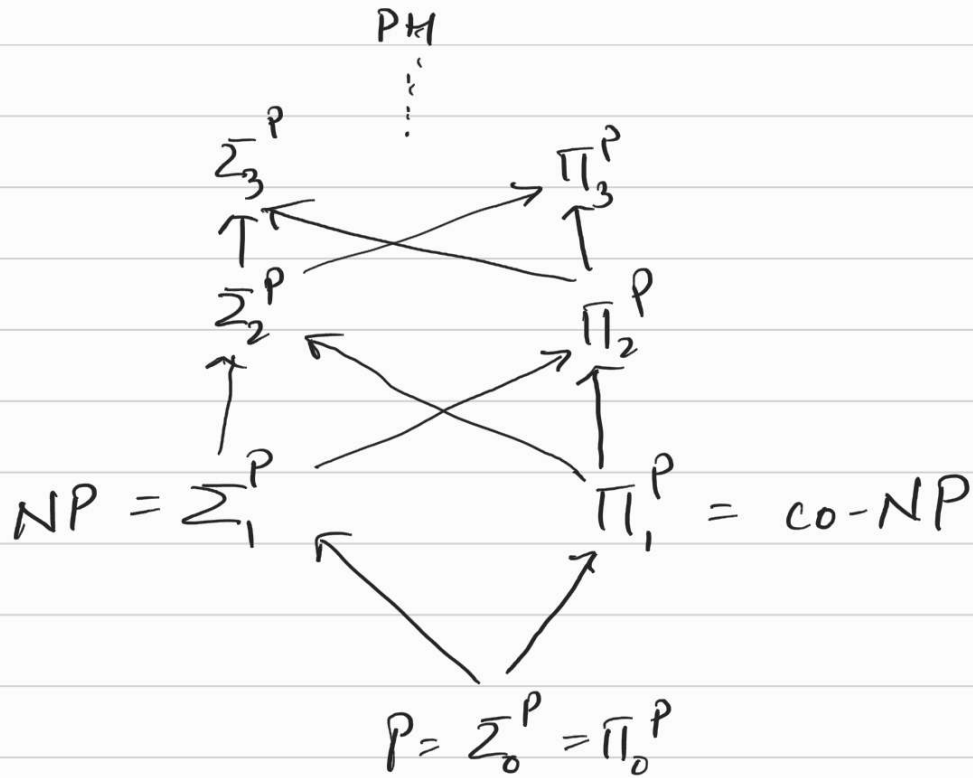
$$\exists \forall P$$

$$\forall \exists \forall P$$

↑  
dummy variables.

Obs:-  $\sum_i^P \begin{cases} \sum_{i+1}^P \\ \prod_{i+1}^P \end{cases}$

Obs:-  $\sum_0^P = P = \prod_0^P$



Defn:- Polynomial Hierarchy (PH)

$$PH := \bigcup_{i \geq 0} \Sigma_i^P = \bigcup_{i \geq 0} \Pi_i^P$$

Obs:-  $PH \subseteq PSPACE$

TQBF is PSPACE-Complete

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PH \subseteq PSPACE \subseteq EXP$$

Q:- what happens if  $P = NP$ ?

$$P = NP \Rightarrow NP = \text{coNP}$$

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$$P = NP \quad \text{intuitively} \quad NP = \exists P$$

$$\Rightarrow \exists P = P$$

$$P = \text{co}NP \quad \Rightarrow \quad \forall P = P$$

$$\parallel \\ \forall P$$

$$\Sigma_2^P = \exists \forall P$$

$$\xrightarrow{\forall P = P} \exists P$$

$$\downarrow \exists P = P \\ P$$

$$\Pi_2^P = \forall \exists P = \forall P = P$$

Lemma 1: if  $P = NP$  then  $PH = P$

by induction on  $i$

Proof sketch: - let  $L \in PH$ .

$$\Rightarrow \exists i \text{ s.t. } L \in \Sigma_i^P \text{ (or } \Pi_i^P)$$

$$L \in \Sigma_i^P \Rightarrow \exists \text{ poly-time DTM } V \\ \text{and poly } p(\cdot) \text{ s.t.}$$

$$\underline{x} \in L \Leftrightarrow \exists u_1 \in \{0,1\}^{p(|x|)} \forall u_2 \in \{0,1\}^{p(|x|)} \dots \delta_i u_i$$

$$V(x, u_1, \dots, u_i) = 1.$$

Define  $L' := \{ \langle x, u_1 \rangle \mid \forall u_2 \exists u_3 \dots \exists u_i \left. \begin{array}{l} V(x, u_1, u_2, \dots, u_i) = 1 \end{array} \right\}$

$(x, u_1) \in L'$  iff  $\forall u_2 \exists u_3 \dots \exists u_i$   
 $V(x, u_1, u_2, \dots, u_i) = 1.$

$$L' \in \Pi_{i-1}^P$$

Base Case:  $i=1$ ,  $\Sigma_1^P = NP$ , by assumption.  
 $\Pi_1^P = coNP$  by assumption.

Induction Hypothesis implies  $\Pi_{i-1}^P = P$

$$\Rightarrow L' \in P$$

$\Rightarrow \exists$  a poly-time DTM  $M(x, u_1) = 1$

if  $(x, u_1) \in L'$

$$x \in L \Leftrightarrow \exists u_1 \text{ s.t. } (x, u_1) \in L'$$

$$x \in L \Leftrightarrow \exists u_1 \text{ s.t. } M(x, u_1) = 1$$

NP

$\Rightarrow L \in NP$

by our assumption  $NP = P$

$\Rightarrow L \in P. \Rightarrow \Sigma_i^P \subseteq P$



Thm:- if  $\Sigma_i^P = \Pi_i^P$  then  $PH = \Sigma_i^P = \Pi_i^P$

In words, PH collapses to level  $i$ .

Complete Problems: usual Karp-reduction  
poly-time many one reduction

$L$  is  $\Sigma_i^P$ -complete if  $L \in \Sigma_i^P$  and  
 $\forall L' \in \Sigma_i^P \quad L' \leq_p L$ .

Similarly for  $\Pi_i^P$ -completeness.

$\Sigma_i^P$ -complete language:

$\exists x_1 \in \{0,1\}^P \forall x_2 \in \{0,1\}^P \exists x_3 \dots \exists x_i$

s.t.  $\varphi(x_1, x_2, \dots, x_i) = 1$ .



$\Pi_i^P$ -Complete language;

$$\forall x_1 \exists x_2 \dots Q_i x_i \text{ s.t. } \varphi(x_1, \dots, x_i) = 1.$$

Q:- what language is complete

for the class PH? **NO..**

Suppose  $\exists L$  complete for PH *unless PH collapses to some level  $i$ .*

$$\Rightarrow L \in \Sigma_i^P \text{ (or } \Pi_i^P)$$

and every language  $L'$  in PH *poly-time* reduces to  $L$

$$L' \leq_p L \text{ and } L \in \Sigma_i^P$$

$$\Rightarrow L' \in \Sigma_i^P$$

$$\Rightarrow PH \subseteq \Sigma_i^P$$

$$\Rightarrow \Pi_i^P \subseteq \Sigma_i^P \text{ (} \Sigma_i^P = \Pi_i^P)$$

$\Rightarrow$  PH collapses to level  $i$ .

obs:-  $\Pi_i^P = \text{co} - \Sigma_i^P := \{L \mid \bar{L} \in \Sigma_i^P\}$

$P \subseteq NP \wedge \text{co-NP}$

$NP \wedge \text{co-NP} \subseteq P ?$

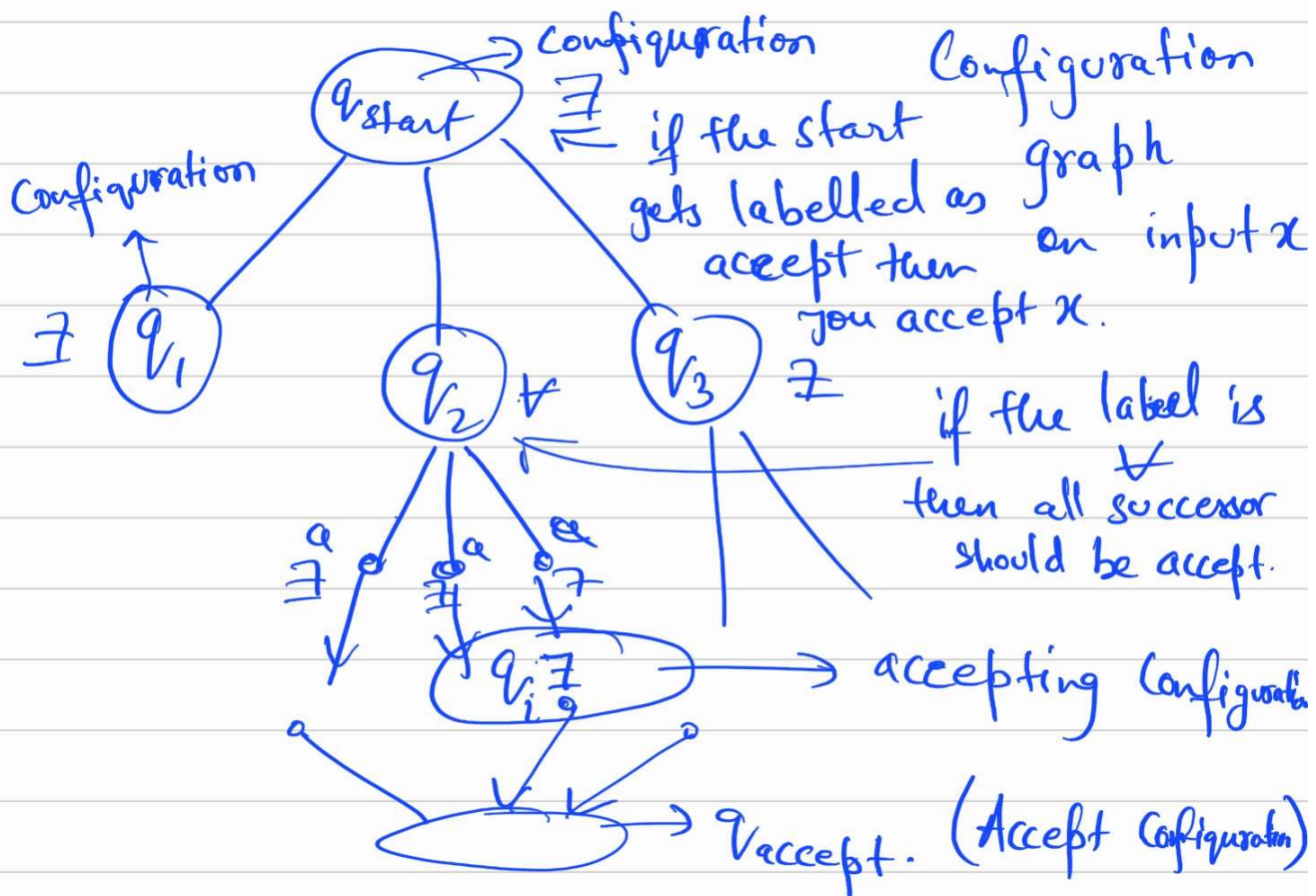
Alternating Turing Machines.

generalization NDTM

set of states.  $:= \{q_1, \dots, q_n\}$

every state has a label in  $\{\exists, \forall\}$

$q_i \rightarrow \exists, \quad q_j \rightarrow \forall$



alternating time machine  $M$ , input  $x$ .

$M$  accepts  $x$  if

consider  $G_{M,x}$  (configuration graph on input  $x$ ).

Label the vertices (configurations) as follows.

→  $C_{\text{accept}} \rightarrow \text{"ACCEPT"}$

→ if  $C$  is labelled with  $\exists$   
and one of its successor is  
labelled "ACCEPT"

then label  $C$  as "ACCEPT"

→ if  $C$  is labelled  $\forall$   
and all of its successor  
(out-neighbor)  
are labelled "ACCEPT"

then label  $C$  as "ACCEPT"

→ if  $C_{\text{start}}$  gets labelled "ACCEPT"  
in this manner then accept  
the input  $x$ .